



# INDIAN INSTITUTE OF TECHNOLOGY BOMBAY

## APPLICATION FOR CONFIRMATION OF REGISTRATION IN THE Ph.D. PROGRAMME

**Section A** (to be completed by the student, and endorsed by the supervisor(s))

A.1 . Roll Number: \_\_\_\_\_

A.2 . Name of the student: \_\_\_\_\_

A.3 . Academic Unit (Department/ Centre/ IDP/ School): \_\_\_\_\_

A.4 . Academic Programme in which the student is currently enrolled:

Ph.D.     M.Tech. + Ph.D Dual Degree     M.Phil. + Ph.D. Dual Degree

M.Sc. + Ph.D Dual Degree     Other programme \_\_\_\_\_

A.5 . Academic Qualification(s) prior to the admission to the Academic Programme at IIT Bombay

1. Qualification : \_\_\_\_\_ (attach copy of the degree certificate)

2. Institute/ University : \_\_\_\_\_

3. Percentage/ CPI : \_\_\_\_\_

A.6 . Category of registration :

TA     TAP     RA     RAP     FA (including QIP)     External     SW

CT     SF     FRN     PS     IS

A.7 . Proposed Supervisor(s) associated with the student:

	Name	Department/ Academic Unit (in IIT Bombay) or Designation / Organization / Institution (if external)
Principal Supervisor		
CoSupervisor(s) (if any, else indicate 'none')		
External Co-Supervisor(s)(if any, else indicate 'none')		

A.8 . Proposed research topic: (Write this clearly in block letters. Avoid abbreviations or long/ vague/ general descriptions. It should read like a title of the research work)

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A.9 .List the courses for which you have registered during this Academic Programme and completed successfully, with the grades earned. Against each course, please mention the category from among the alternatives C1, C2, C3, C4 given below.

**Course Categories:**

**C1. Mandatory** Course requirement for the M. Tech. / M. Phil. / M. Sc. Degree in a **Dual Degree Masters + PhD Programme** of IIT Bombay, in which the student is enrolled. This also includes courses *common to* the Masters Degree requirements and Ph.D. initial coursework requirements in such a Dual Degree Programme.

**C2. Mandatory** course requirement, specifically for the Ph.D. Programme. These could be mandatory PhD course requirements *after* the Masters' Degree requirements, prescribed as a part of a Dual Degree Masters + PhD Programme, or initial mandatory Institute course requirements in a PhD (only) Programme.

**C3. Additional** courses prescribed / required by the Department/ Academic Unit if any.

**C4. Additional** courses taken by the student by personal choice/ supervisor's advice beyond any stipulated requirements, if any.

Sl. No.	Course Number	Course Name	Grade Earned	Course Category
01.				
02.				
03.				
04.				
05.				
06.				
07.				
08.				
09.				
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
21.				
22.				
23.				
24.				
<i>Attach Separate sheet if required</i>				

Credits Completed C1 : \_\_\_\_\_ C2 : \_\_\_\_\_ C3 : \_\_\_\_\_ C4 : \_\_\_\_\_

CPI Obtained : \_\_\_\_\_

I hereby certify that the information above is authentic to my knowledge and belief.

Signature of student: \_\_\_\_\_

Date : \_\_\_\_\_

A.10 . Faculty Members other than the supervisor(s) who are conversant with the theme of the proposed research, *some* of whom would be members of the **Research Progress Committee** for the student (give at least two names)

Name	Department/ Academic Unit of IIT Bombay/ Designation/Organization (if external)

A.11 . **Information and Consent to be provided by Supervisor**

Rule: At any given time, the number of Institute research scholars working with a supervisor shall not exceed three while the total number of all categories of research students working with him/her shall not normally exceed eight.

I am at present a Supervisor/Co-Supervisor for the following Ph.D. candidates

Roll No.	Name	Department	Other Supervisor/Co-supervisor(if any)

In addition to the above, I agree to serve as supervisor for Mr. / Ms. \_\_\_\_\_

Remarks (if any)

Signature of Supervisor with date : : \_\_\_\_\_

**A.12 : Information and Consent to be provided by Co-Supervisor**

Rule: At any given time, the number of Institute research scholars working with a supervisor shall not exceed three while the total number of all categories of research students working with him/her shall not normally exceed eight.

I am at present a Supervisor/Co-Supervisor for the following Ph.D. candidates

Roll No.	Name	Department	Other Supervisor/Co-supervisor(if any)

In addition to the above, I agree to serve as co-supervisor for Mr./ Ms. \_\_\_\_\_

Remarks (if any) :

Signature of Co-Supervisor with Date

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## Section B

(To be completed by the Postgraduate Committee (PGC) of the Department / Academic Unit and endorsed by the Convener, DPGC/ PGC // Head of Academic Unit)

### B.1 Course Work Details

Has the student successfully completed course work requirements of the institute and the course requirements of department/unit for the programme he/she has been admitted into. He/ she has obtained the required CPI :

Yes

No

Additional Remarks (if any): \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

### B.2 Ph.D. Qualifier Examination details Guidelines are given in Annexure-2) :

The student has successfully completed the Qualifier Examination.

The Qualifier examination is not applicable to this Academic Unit.

The Qualifier examination is not applicable for this student for this student for the reason as stated below.

Additional Remarks (if any): \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

B.3 The Postgraduate Committee recommends confirmation of the registration of this student in the PhD Programme at IIT Bombay.

Signature, of the Convener, DPGC/ SPGC/  
CPGC/PGC / Head of the Department or Academic Unit,  
with date and stamp.

\_\_\_\_\_

**Section C** (To be completed by the Academic Section)

Please refer to the courses registered for and completed successfully during this academic programme, as listed by the student, in Section A.10. on Page 2, as required, to complete this part of the form.

1. Institute Course credit requirements (number of credits) for the programme:

C1:  C2:

2. Credits completed; verified by the Academic Section: Yes  No

3. Report of satisfactory completion of **Qualifying Examination/ Qualifying Procedure** in the concerned Academic Unit received ?

Applicable & Received  Applicable, but not received  Not applicable to this academic unit

4. Date of satisfactory completion of **Research Proposal Examination**: \_\_\_\_\_  
(Format of report given in Annexure - 1 : Only for the students of Dual Degree M.Tech. / M.Phil. + Ph.D. Programme)

5. Has the student completed all requirements for the confirmation of PhD Registration? Yes / No  
If Yes, **Confirmation Date** the student is eligible for Confirmation of PhD Registration with effect from (date): \_\_\_\_\_

6. Additional remarks, if any: \_\_\_\_\_  
\_\_\_\_\_

Signature of the Assistant Registrar (Academic) with date & stamp

For the Convener, PGAPEC:

PGAPEC recommends the confirmation of PhD Registration of this student, to the Senate of IIT Bombay with effect from the date at Confirmation date above.

Signature of Convener, PGAPEC with date & Stamp

(For use by the Academic Section:)

Reported and recommended by the PGAPEC, in PGAPEC Meeting Number/Date : \_\_\_\_\_

Reported and approved in Senate Meeting Number/Date : \_\_\_\_\_

**Annexure – 1: Format for Report on Research Proposal**

**RESEARCH PROPOSAL**

*(Only for the students of Dual Degree programme of M.Tech. + Ph.D./ M.Phil. + Ph.D.)*

Name of the student: \_\_\_\_\_

Roll Number: \_\_\_\_\_

Academic Unit (Department/ Centre/ IDP/ School): \_\_\_\_\_

Is this the first attempt made by the student at presenting a research proposal for examination? **Yes/No**

If not, please complete: Date(s) on which prior attempts were made: \_\_\_\_\_

Date of presentation and examination of current Research Proposal: \_\_\_\_\_

**Committee Report:**

Certified that this student has presented a research proposal to the committee with composition as indicated below, outlining the research and developmental work to be undertaken towards his/her Ph.D. Thesis. The committee has examined the student on the proposal. The current research proposal presented is: (indicate one of the following alternatives clearly, strike out the others):

- (a) satisfactory as presented and on examination. The student has, therefore, successfully completed the presentation and examination of the research proposal.
- (b) not entirely satisfactory as presented, examined: the student has been advised (i) of the deficiencies in the current proposal and examination performance (ii) to present another revised proposal for examination within six months from the current date.
- (c) absolutely unsatisfactory: the student is unlikely to complete and present a satisfactory research proposal even within a year from now. It is recommended that the student seek an exit option from the Ph.D. Programme.

**Committee examining the Research Proposal – Composition and Endorsement:**

	Name	Department/ Affiliation	Signature with date
Supervisor			
CoSupervisor(s)/ External Supervisor(s) if any			
Other members of the committee (at least two other IITB faculty members)			

Forwarded through: Convener, DPGC/ PGC / Head of the Department/ Academic Unit (Signature, Date & Stamp):	
Convener, PGAPEC :	

**Guidelines for Report of the Qualifying Examination Performance / Qualifying Procedure in individual academic units:**

Several academic units in the Institute adopt additional qualifying examinations/ procedures to examine the suitability of a candidate prior to confirmation of registration in the Ph.D. Programme. The format for reporting the performance of the candidate in the qualifying examination/ procedure has therefore not been prespecified by the Senate. A format suitable to the academic unit should be designed by the Academic Unit, should such an additional qualifying examination/ procedure be adopted by the academic unit in question. Copies of the designed format should be forwarded to the Academic Section, with relevant sections pertaining to each individual candidate, duly completed. It must include the following as a mandatory requirement:

- (i) A description of the qualifying examination/ qualifying procedure adopted.
- (ii) Relevant summary details of the performance of the candidate in different components of the qualifying examination/ qualifying procedure, if there are multiple components.
- (iii) A clear statement of whether the candidate may be deemed to have completed the qualifying procedure/ qualifying examination successfully, on the whole, and/or a recommendation of any exemptions from such a procedure that are granted in the case of specific candidates with explanations.

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